lef hi dan naet komma ne welle Jespersen's Cycle in Old Frisian

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### Roadmap

A profile of (Old) Frisian Diachrony and diatopy Sources for Old Frisian Studies

2 The Corpus Oudfries

Turning old into new - negation change in Old Frisian Jespersen's Cycle Development in the Germanic language family Phonology as "motor" of Jespersen's Cycle

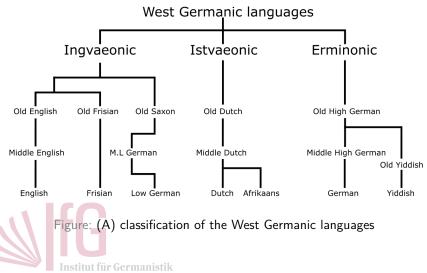




"Frisian" today refers to the group of Frisian languages:

- **North Frisian** (*Friisk*): approx. 15,000 speakers in the district of North Friesland and on Helgoland (seriously endangered)
- **East Frisian** (*Fräisk*): the only surviving variety is Sater Frisian (*Seeltersk*) in the district of Cloppenburg; approx. 2,000 speakers
- West Frisian (*Frysk*): approx. 450,000 speakers (of which 75 % as L1) in the province of Fryslân (NL); stable use including the development of a standard variety
- Nowadays, the different varieties are **no longer mutually** intelligible (Swarte & Hilton 2013)!

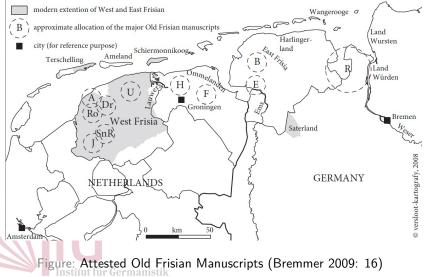
### Diachrony and diatopy of Frisian History of Frisian



- Two (attested) dialects of Old Frisian (1100-1550): **East** and **West Frisian** (separated by the Lauwers)
  - Differences esp. in phonology as well as lexic (Munske 1973)!
- Controversial since Sjölin (1966): East & West vs. classical & post-classical Old Frisian (cut: 1400)!
  - Often not attested at the same time diachrony conceals diatopy!
- \* No serious comparison of both major dialects possible!
  - Finer subdivision (i) not possible and (ii) not useful!



#### Diachrony and diatopy of Frisian Sources for Old Frisian Studies



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- Corpus: 16 large manuscripts (approx. 1,000,000 tokens).<sup>2</sup>
- Attested from the 12<sup>th</sup> century onwards
- The main problem with the texts: the dating!
  - Practically every major text is attested several times.
  - Individual texts of the large manuscripts are **much older**, therefore dating of the manuscript is not (too) helpful.
  - Some of the texts deviate from the (dated) manuscript by up to 200 years (cf. Bremmer 2009: 9–12)!

<sup>2</sup>In addition, there are 1,000 charters which differ significantly in terms of language and should therebefore be treated separately.

### The Corpus Oudfries

#### Corpus Oudfries / Old Frisian Corpus

Corpus compiled and annotated by

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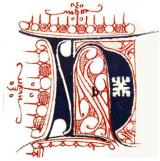


Figure: Logo of the Corpus Oudfries

- The *Corpus Oudfries* has been publicly available since 2019 and was compiled by Rita van de Poel (Universiteit Leiden).
- With a total of **235,462 tokens** (25 % of all token), it is relatively small, but still large enough for reliable claims.
- POS-tagged and lemmatised  $\rightarrow$  Focus on lexic & semantics, therefore **few to no morphosyntactic tags!**
- Search queries by CQL (Corpus Query Language) → less powerful than e.g. ANNIS (Krause & Zeldes 2016)!



#### The Corpus Oudfries Methodological approach and problems

- Especially for negation structures, the Corpus Oudfries has a great disadvantage: clitic elements were not annotated!
- Cases of OFri. *ne*, where *ne* clitizises onto the verb, **can't be found**.
  - *ne* merges very often with modal verbs and *wesa* 'to be'!
- **My approach:** Search for OFri. *ne* <u>or</u> *nāwet* (each glossed as ADV) and **verification by hand** 
  - Overall, the *Corpus Oudfries* only has **rudimentary search queries**!





Figure: The Eggja stone (7<sup>th</sup> century)

(1) **ni**=s solu sot uk ni sakse stain skorin NEG=is sun hit and NEG knife stone cut

(Germanic) starting point: the original negation particle *ni* (< idg. \**ne*).

(2) a. and nammermar ne mot hi anda godis huse wesa and nevermore NEG may he in god's house be mith ore kerstene lioden. with other christian people

First Rüstring Manuscript (R1), XVII.6 (*On Killing a Relative*)

b. *lef hi dan naet komma ne welle* if he then NEG come NEG wants

Jus Municipale Frisonum (J); III.57.6 (*Elder Skeltariucht*)

c. Jsrahel, dines Godes nama scheltu **naet** Israel yours god's name shall=you NEG wrswerra

abuse through oath

Jus Municipale Frisonum (J); II.8d (Haet is riucht?)

# Negation change within Jespersen's Cycle Jespersen's Cycle

- This development is generally attributed to the so-called **Jespersen's Cycle**.
- This is named after its founder, the Danish linguist Otto Jespersen (Jespersen 1917).
  - The basic idea can already be found in Grimm (1890 [1831]: 690; cited in Lander 2018: 20) and Meillet (1912)!
  - Term Jespersen's Cycle going back to Dahl (1979).
- Homogeneous development throughout West Germanic!
  - **But:** Drastic differences in implementation over time!



Development in the Germanic language family

- Stage I: (Phonological) Weakening of the original negative marker (< PGmc \*ni < IE \*ne):</li>
  - OHG/OFri.  $ni \rightarrow$  MHG/OFri. ne/en.
- Stage II: Reinforcement of the negative element with a second marker MHG *niht*/OFri. *nāwet* (< PGmc \**ni io uuiht*)
  - OHG/OFri.  $ni \rightarrow MHG/OFri. ne ... niht/ne ... nāwet$
  - so-called bipartite negation!
  - $\neq$  negative concord ("double negation")
- Stage III: Complete replacement of the old negative element:
  - MHG ich enweiz niht  $\rightarrow$  NHG ich weiß nicht
  - OFri. ik newēt naet  $\rightarrow$  OFri. ik wēt naet / WFri. ik wit net

Development in the Germanic language family

- **High German:** early completion in Upper (1300), delayed in (Western) Central German (15.–16. century) (Hrbek & Schallert 2024; Hertel 2022; Pickl 2017; Jäger 2008)
- Low German: Completion between the  $15^{\text{th}}$  (Hanseatic cities) and  $16^{\text{th}}/17^{\text{th}}$  century (Westphalian) (Breitbarth 2014)  $\rightarrow$  Levelling!
- Dutch: niet as dominating pattern only from the 17<sup>th</sup> (North) resp. 19<sup>th</sup> century onwards (Flemish) → en ... niet as a relict even nowadays (Barbiers et al. 2009; Vosters & Vandenbussche 2012; Zeijlstra 2002).

Development in the Germanic language family

- **Frisian** is from a diachronic perspective the least studied Germanic language.
  - Many aspects (with the exception of the classical Neogrammarian aspects) are still unclear – esp. concerning negation!
  - There is **exactly one survey** (Bor 1990) which is neither theoretically nor diachronically designed
- Main result: Old Frisian exhibits all three stages of Jespersen's Cycle.
- "the double negative *ne....nawet* is the preferred mode of expressing negation in an Old Frisian clause" (Bor 1990: 38).

#### Turning old into new - negation change in Old Frisian Phonology as "motor" of Jespersen's Cycle

#### • Two essential questions:

- **•** What caused the negation change in (West) Germanic?
- Why is the development (despite all the obvious similarities) so heterogeneous in terms of time?



Adaptation of Hertel's (2022) theory of phonology as "motor" of negation change:

- Initial stress (Initialakzent) caused shift of stress onto the root syllable → reduction of unstressed syllables to Schwa
- Deletion of /ə/: OHG sunu, miluh → NHG Sohn, Milch
- Fixation of **Trochee** (xx) as preferred stress pattern
- Preverbal *ne* as "victim" of phonological-prosodic change (interface between syntax and phonology)!

#### Turning old into new - negation change in Old Frisian Phonology as "motor" of Jespersen's Cycle

Apart from diatopy/diachrony, I include the following aspects (among others) in my investigation:

- Verb order: V1 vs. V2 vs. V-later & V/E  $\rightarrow$  Prosody (Trochee!) (cf. Behaghel 1918)!
- **Prefixation**<sup>3</sup>: (unstressed) Prefixes like *be* or *ge* increase the number of (unstressed) syllables and worsen the syllabic structure (e.g. MHG *heißen* vs. *geheißen*).
- Graphematics: Spelling of *ni/ne/en* and *nāwet*
- Link to phonology/prosody!

<sup>&</sup>lt;sup>3</sup>In Old Frisian, prefixes inherited from PGmc \**gi-/\*ga*- have been deleted or reduced to *e*-: *ridden* resp. *eridden* 'ridden'.

# Turning old into new - negation change in Old Frisian

(8) Insufficient annotations in the *Corpus Oudfries*:

- **Clitic instances of** *ni* were not annotated (just the host can be found)
- Contractions with *hebba* 'to have', *wesa* 'to have' and *willa* 'to want' represent independent lemmas (e.g. *nebba* 'to not have')(?)

**8** Ambiguity/Polyvalence of OFri. *ne* and *nāwet*:

- *ne* can occure in negative conjunctions (,neither .. nor') <u>and</u> as excpetive marker: OFri. *hit ni se<sup>4</sup>* 'unless ...', cf. nl. *tenzij* (< *het en zij*) (cf. Breitbarth 2014; Witzenhausen 2019)
- *nāwet* ('not') <u>homophonic</u> to the n-indefinite OFri. *nāwet* ('nothing')

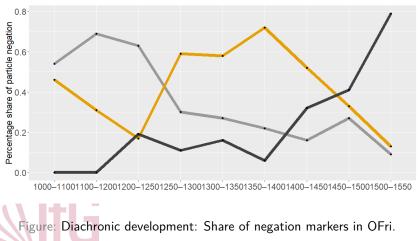
<sup>4</sup>I am currently studying this ambiguity from a functional and graphematical perspective and will present the results at the annual GGSG conference in Düsseldorf (Germany) at the end of September.

#### Results: Jespersen's Cycle in the corpus Diachrony & diatopy

- Diachronic development of negation change:
  - At the beginning of the written tradition (12<sup>th</sup> century) **dominates** still **alone** *ne* (stage I).
  - From the 13<sup>th</sup> century: *ne ... nāwet* (stage II) takes over **the absolute majority** and keeps it!
  - Only in the west: *nâwet* (phase III) from 1450 as **preferred variant**.
- Old Frisian thus shows clear signs of an ongoing Jespersen's Cycle!



#### Results: Jespersen's Cycle in the corpus Diachrony & diatopy



Negation\_type = Stage1 = Stage2 = Stagee3

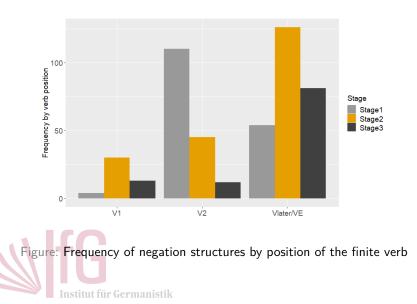
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#### Results: Jespersen's Cycle in the corpus Position of the finite verb

- V1<sup>5</sup> with single *ne* is hardly attested; with *ne* ... *nāwet* solely up to the year 1300.
  - The preverbal particle *ne* disappears (as in MHG; cf. Hrbek & Schallert 2024) with V1 pretty fast!
    - Here: particularly high frequency of single nāwet!
- V2 seems to preserve *ne* (and *ne* ... *nāwet*) the longest even though this contradicts Behaghel (1918).
- V-later/VE takes a position inbetween: long occurrence of *ne* ... *nāwet*, but also high frequency for *nāwet* early on ("Mittelstellung")!
- Results match with those of Bor (1990: 39)!

<sup>5</sup>V1 is generally **very rare**; only between 1250–1300 does it occur **remarkably often** (*Brokmerbref & The Ten Commandments*)  $\rightarrow$  due to text type? Institut für Germanistik

#### Results: Jespersen's Cycle in the corpus Position of the finite verb



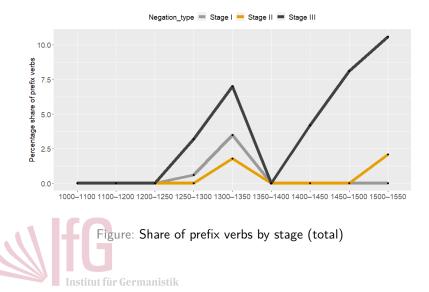
# Results: Jespersen's Cycle in the corpus Prefixation

- Prefix verbs (e.g. OFri. *biriuchta* 'to judge') are **extremely** rare!
- From a prosodic point of view, they are dispreferred with OFri. *ne* which is **reflected in my data!** 
  - Approx. every 50<sup>th</sup> verb that co-occurs with OFri. *ne* or *ne* ... *nāwet* contains an (unstressed) prefix á la *bi* or *gi*-.
  - In contrast: Every fifth verb that was negated with single nāwet contains an unstressed prefix → statistically significant difference (p < 0.01)!</li>

Prosody as **clear influencing factor** on Jespersen's Cycle!



# Results: Jespersen's Cycle in the corpus



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#### Results: Jespersen's Cycle in the corpus Spelling of the negative particles

- Spelling allows no certain conclusion about phonology, but often hint for variation or change.
- *ne* has only **four variants**, while *nāwet* has **14 spellings**!
  - <ne>, <ni>, <ney>, <en>  $\rightarrow$  <ne> as main variant from the beginning!
- Spelling of *nāwet* shows **no clear picture individual preferences of the writers** resp. **free variation**(?)
  - Increasing spelling with > points to MLG influence!
  - Furthermore: intervocalic <w> disappears over time  $\rightarrow$  erosion with progressing grammaticalization!



#### Results: Jespersen's Cycle in the corpus Spelling of the negative particles

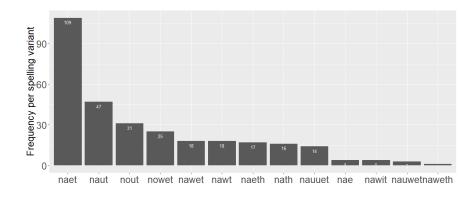


Figure: Graphematic variation of OFri. nāwet

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# Turning old into new - negation change in Old Frisian Summary

- Old Frisian continues to be "a gem within the Old Germanic languages" – there is still much to be found!
- With the help of the *Corpus Oudfries*, an up-to-date study of Old Frisian is possible albeit with **cuts!** 
  - Poor annotations and Co. require **good grammatical knowledge**, philological know-how and esp. **endurance**!
  - However, great results can be achieved with a good knowledge of corpus linguistics and the respective phenomenon!



# Turning old into new - negation change in Old Frisian Summary

- Old Frisian shows clear evidence for a Jespersen's Cycle; diatopic statements are only speculative!
- Results regarding verb position correspond to our expectations e.g. for MHG (Hrbek & Schallert 2024).
- Prefix verbs represent a context in which OFri. *ne* gets lost rather quickly.
- Graphematics: *ne* extremely **stable** (<ne>), *nāwet* shows **free variation**.



# Thonke! (OFri. for 'Thanks!')



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#### Appendix Diachrony & diatopy

	East Old Frisian			West Old Frisian		
	Stage I	Stage II	Stage III	Stage I	Stage II	Stage III
1000-1100	14	12	0	Ø	Ø	Ø
1100-1200	11	5	0	Ø	Ø	Ø
1200-1250	16	4	1	43	12	17
1250-1300	19	43	11	28	49	7
1300-1350	7	27	7	8	6	2
1350-1400	4	13	1	Ø	Ø	Ø
1400-1450	4	13	8	Ø	Ø	Ø
1450-1500	9	10	4	1	2	11
1500-1550	0	2	1	4	4	36
Total:	84	129	33	84	73	73

Tabelle: Frequency of negation markers in East & West Old Frisian

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- Overall, East Old Frisian seems much more conservative, West Old Frisian more progressive.
  - This would match the old Neogrammarian and Sjölin's (1966) opinion: East OFri. = older West OFri. = younger!
- However, Old West Frisian is attested much later and only at times when *Jespersen's Cycle* had already moved on to the next phase!
- Overall, a much more harmonious picture of a consecutive negation change in Jespersen's style emerges!

